



National Practitioner Data Bank: Partnering to Protect Patients

State Licensing Board Reporting Requirements
April 9, 2019

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Division of Practitioner Data Bank (DPDB)
Bureau of Health Workforce (BHW)
Health Resources and Services Administration (HRSA)



Overview

- ▶ **Overview**
- ▶ **Introduction**
- ▶ **Regulations**
- ▶ **NPDB Reporting and Querying**
- ▶ **Basics to Discuss**
- ▶ **Website Resources**
- ▶ **You May Have Heard In The News**
- ▶ **Contact Information & Resources**



The Audience

▶ **Let's Get to Know the Audience:**

1. Who traveled the farthest?
2. How many years have you used the NPDB?

The Rules

State Licensing Board Reporting Requirements Specific Legislation & Regulations

[Title IV](#)

[Section 1921 of the Social Security Act](#)

[Link to NPDB Summary Reporting Requirements](#)



General Provisions

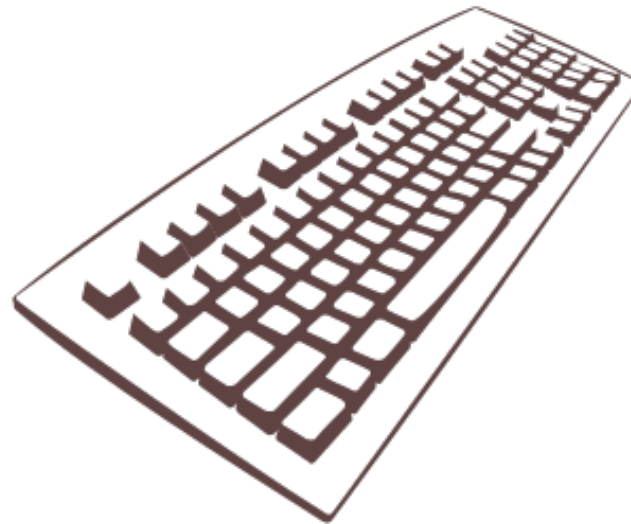
Created to meet several needs:

Flagging system for effective
credential reviews

Protection against unfit
practitioners

Deter fraud and abuse in the
health care system

State Licensure Reporting



Reporting Infographic

NPDB GUIDE TO REPORTING STATE LICENSURE ACTIONS

BEFORE SUBMITTING:

Did your board take one or more actions against a practitioner as a result of a formal proceeding (such as a formal hearing, settlement agreement, consent order, etc.) that includes one of the following?:

- An adverse action, including revocation, suspension, reprimand, censure, or probation
- Any other loss of license or right to apply for/renew license by operation of law, voluntary surrender, or nonrenewal, etc. (excluding non-payment of fees, retirement, inactive status)
- A dismissal or closure of a formal proceeding because the practitioner surrendered license or left jurisdiction
- Any publicly available negative action or finding (includes a health care-related administrative fine or citation)

Do not have to be publicly available to be reportable

SUBMIT AN INITIAL ADVERSE ACTION REPORT



Initial

within 30 days of when the action was taken

REPORT MODIFICATIONS (when needed):

The NPDB notifies the subject of the report when the report is submitted, and when any of these modifications are made.



Did your organization take an action that modifies or relates to a previously reported action (including reinstatements)?

SUBMIT A REVISION-TO-ACTION REPORT



Initial Revision



Did your organization determine there is an error or omission in a previously submitted report?

SUBMIT A CORRECTION REPORT



Initial Corrected Report



Did your organization determine that an action should not have been reported because:

- 1 The report was erroneously submitted?
 - 2 The action is not reportable?
 - 3 The action was reversed or overturned?
- These are the only reasons for which a report may be voided.

VOID THE REPORT



Initial



Did a practitioner appeal a previously reported action by your board?

SUBMIT A NOTICE OF APPEAL



Initial

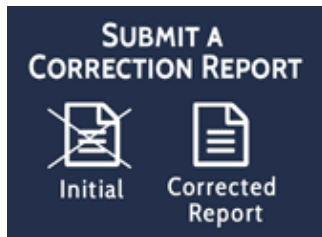
www.npdb.hrsa.gov

Types of Reports

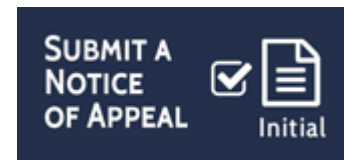
▶ Initial

First report of a medical malpractice payment, adverse action, or judgment or conviction. Report must be submitted within 30 days of the date the action was taken or malpractice payment was made.

▶ Correction



▶ Notice of Appeal



▶ Revision-to-Action



▶ Void



Modifying a Report

▶ **Correction**

It contained errors (e.g., incorrect date of birth) or is missing information (e.g., incomplete narrative description).

▶ **Revision-to-Action**

A subsequent action has occurred concerning the same case requiring a revision (e.g., reinstatement, restrictions lifted).

▶ **Notice of Appeal**

Notify the NPDB that a subject has appealed this adverse action.

▶ **Void**

It should not have been submitted (e.g., wrong practitioner named, duplicate report) or action was reversed or overturned on appeal.



Sample Report

NATIONAL PRACTITIONER DATA BANK

NPDB

P.O. Box 10832
Chantilly, VA 20153-0832

http://www.npdb.hrsa.gov

DCN: 7950000078783656
Process Date: 01/10/2013
Page: 1 of 2
DOE, JOHN J JR
For authorized use by:
TEST HOSPITAL

DOE, JOHN J JR

TEST HOSPITAL

TITLE IV CLINICAL PRIVILEGES ACTION

Date of Action: 10/01/2011

Initial Action

Basis for Initial Action

- REDUCTION OF CLINICAL PRIVILEGES

- INSURANCE FRAUD (MEDICARE, MEDICAID OR OTHER INSURANCE)

A. REPORTING ENTITY

Entity Name: TEST HOSPITAL
Address: 324 TESTING ROAD
SUITE 100
City, State, Zip: WASHINGTON, DC 20000
Country:
Name of Office: DANA SMITH
Title or Department: COORINATOR
Telephone: (333) 333-3333
Entity Internal Report Reference:
Type of Report: INITIAL

B. SUBJECT IDENTIFICATION INFORMATION (INDIVIDUAL)

Subject Name: DOE, JOHN J JR
Other Name(s) Used:
Gender: MALE
Date of Birth: 04/22/1950
Organization Name: ORGANIZATION NAME
Work Address: SAMPLE STREET
City, State, ZIP: RESTON, VA 11111
Home Address: SAMPLE STREET
City, State, ZIP: RESTON, VA 11111
Deceased: NO
Social Security Numbers (SSN): ***-**-1000
Professional School(s) & Year(s) of Graduation: SAMPLE UNIVERSITY (1974)
SAMPLE UNIVERSITY (1970)
Occupation/Field of Licensure (Code): COUNSELOR, MENTAL HEALTH
State License Number, State of Licensure: 12345678910, VA
Drug Enforcement Administration (DEA) Numbers:
Name(s) of Health Care Entity (Entities) With Which Subject Is Affiliated or Associated (Inclusion Does Not Imply Complicity in the Reported Action.):
Business Address of Affiliate:
City, State, ZIP:
Nature of Relationship(s):

C. INFORMATION REPORTED

Type of Adverse Action: TITLE IV CLINICAL PRIVILEGES
Basis for Action: INSURANCE FRAUD (MEDICARE, MEDICAID OR OTHER INSURANCE) (E1)
Adverse Action Classification Code(s): REDUCTION OF CLINICAL PRIVILEGES (1640)
Date Action Was Taken: 09/11/2011
Date Action Became Effective: 10/01/2011
Length of Action: PERMANENT

CONFIDENTIAL DOCUMENT - FOR AUTHORIZED USE ONLY

NATIONAL PRACTITIONER DATA BANK

NPDB

P.O. Box 10832
Chantilly, VA 20153-0832

http://www.npdb.hrsa.gov

DCN: 7950000078783656
Process Date: 01/10/2013
Page: 2 of 2
DOE, JOHN J JR
For authorized use by:
TEST HOSPITAL

Description of Subject's Act(s) or Omission(s) or Other Reasons for Action(s) Taken and Description of Action(s) Taken by Reporting Entity: SUBMITTED REQUEST FOR INSURANCE PAYMENT FOR SERVICES NOT RENDERED.

D. SUBJECT STATEMENT

If the subject identified in Section B of this report has submitted a statement, it appears in this section.

E. REPORT STATUS

Unless a box below is checked, the subject of this report identified in Section B has not contested this report.

- If box is checked, this report has been disputed by the subject identified in Section B.
- If box is checked, at the request of the subject identified in Section B, this report is being reviewed by the Secretary of the U.S. Department of Health and Human Services to determine its accuracy and/or whether it complies with reporting requirements. No decision has been reached.
- If box is checked, at the request of the subject identified in Section B, this report was reviewed by the Secretary of the U.S. Department of Health and Human Services. The Secretary's decision is shown below:

Date of Original Submission: 01/10/2013
Date of Most Recent Change: 01/10/2013

This report is maintained under the provisions of: Title IV

The information contained in this report is maintained by the National Practitioner Data Bank for restricted use under the provisions of Title IV of Public Law 99-660, as amended as codified in 45 CFR Part 60. All information is confidential and may be used only for the purpose for which it was disclosed. Disclosure or use of confidential information for other purposes is a violation of Federal law. For additional information or clarification, contact the reporting entity identified in Section A.

END OF REPORT

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Basis for Action Codes: Why Accurate Coding is Critically Important



Reporting Compacts

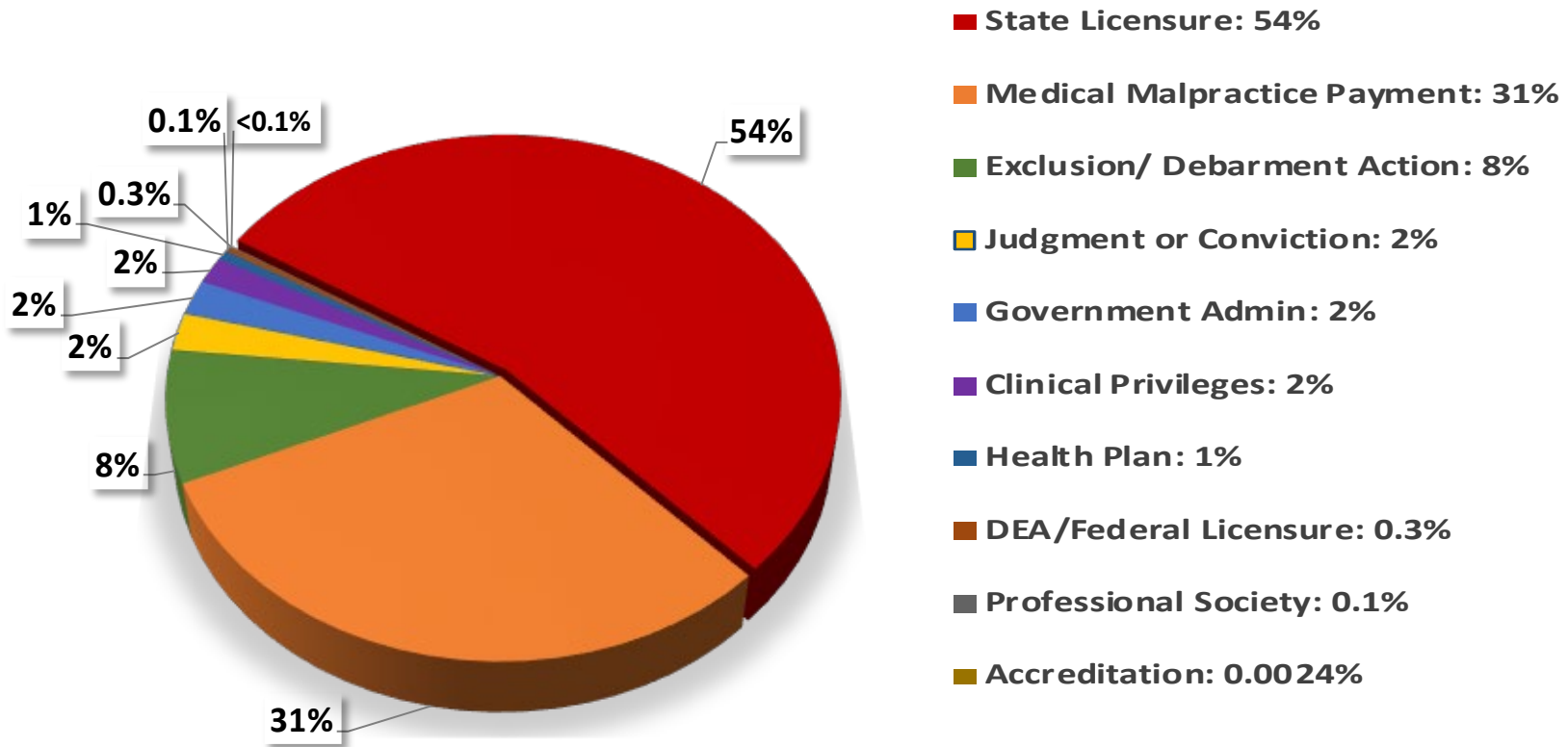


Prescriptive Codes



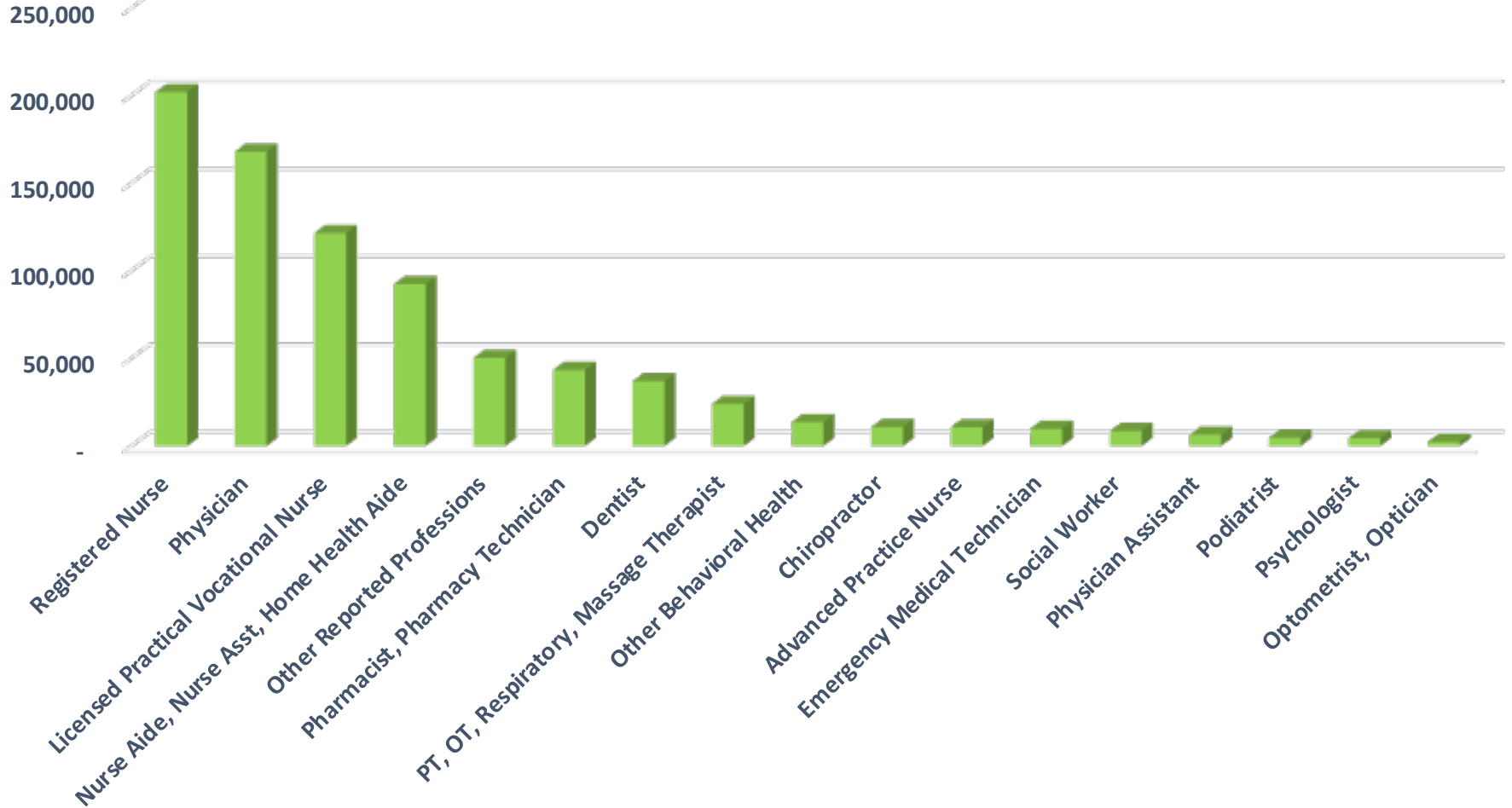
NPDB Reports by Type (N = 1.4M)

As of December 31, 2018



Reports on Individuals by Profession

2010 – 2018 (N = 832,000)



Who Reports and Queries?

ENTITY TYPE	REPORT	QUERY
Hospitals	✓	✓
Health plans	✓	+
Other health care entities with formal peer review	✓	+
State agencies licensing or certifying practitioners & entities (including boards of medical & dental examiners)	✓	+
State agencies administering or supervising state programs	✓	+
State law or fraud enforcement agencies (including state Medicaid fraud control units & state prosecutors)	✓	+
Federal licensing or certification agencies	✓	+
Agencies administering federal programs, including entities under contract	✓	+
Federal law enforcement officials & agencies (including DEA, HHS OIG, & federal prosecutors)	✓	+
Medical malpractice payers	✓	✗
Professional societies with formal peer review	✓	+
Peer review organizations (excluding QIOs)	✓	✗
Private accreditation organizations	✓	✗
Quality improvement organizations	✗	+
Individual practitioners, providers, & suppliers (self-query only)	✗	+

Required ✓ Not Authorized ✗ Optional +



Formal Proceeding



The Basics

Denials of Initial and Renewal Applications



Use of Private Agreements Federal Law & State Law



Letter of Concern



Impaired Practitioner



Inactive Status



The Basics

Administrative Fines



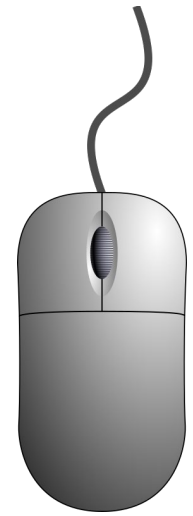
Cease and Desist



Continuing Education Unit - CEU



Website Resources



- ▶ The NPDB Web Site at www.npdb.hrsa.gov
- ▶ State Licensing and Certification Agencies Landing Page: <https://www.npdb.hrsa.gov/orgs/stateBoard.jsp>
- ▶ Help Center: <https://www.npdb.hrsa.gov/helpCenter/org.jsp>
- ▶ Policy Questions: NPDBPolicy@hrsa.gov

Website Resources

 U.S. Department of Health & Human Services

[Home](#) [About Us](#) [Contact Us](#) [Help Center](#) [A-Z Index](#)

[Sign In](#)

NPDB NATIONAL PRACTITIONER DATA BANK

Search keywords or terms...



[For Health Care Professionals](#) [For Organizations](#) [NPDB Resources](#)



Updated Guidebook
October 2018

NPDB Guidebook
The updated NPDB Guidebook is now available.



Self-Query

Order a search of your information for \$4 and receive both an online response and a sealed letter.

[Start or Sign Into a Self-Query](#)



Check Your Report

Received a letter notifying you of a report? View and respond to the report.

[Sign in to View Your Report](#)

For Organizations

[Registering with the NPDB](#)

How an organization can apply to access NPDB information.

[Changing Your Data Bank Administrator](#)

What to do if your Data Bank administrator is leaving your organization.

[Querying the NPDB](#)

Start using Continuous Query within your organization.

[Your Organization](#)

Receive organization-specific reporting and querying guidance.

Popular Resources

[NPDB Guidebook](#) **Updated**

The guidebook serves as a policy manual for the NPDB.

[Glossary](#)

Understand NPDB terminology and definitions.

[NPDB Infographics](#)

Educational guides that explain NPDB concepts in a visual, easy-to-understand format.

[Policy Corner](#)

A resource for your questions about the laws and regulations governing NPDB operations.

News

March 6, 2018

The *March Insights* illuminates the dispute process, points users to resources that help determine if a situation is reportable, and discusses how patient safety related to querying and reporting.

February 4, 2019

The *NPDB Public Use Data File* has been updated to include disclosable statistical report information received from September 1, 1990 through December 31, 2018.

[More News](#)



Research & Data

[About](#) | [Data Analysis Tool](#) | [Public Use Data File](#)



Compliance

[About](#) | [Review Process](#) | [Current Compliance Status](#)



Credentialing Software (QRXS)

[About](#) | [Downloads & Documentation](#) | [Codes](#)



Data Analysis Tool

Undo Redo Reset Export CSV

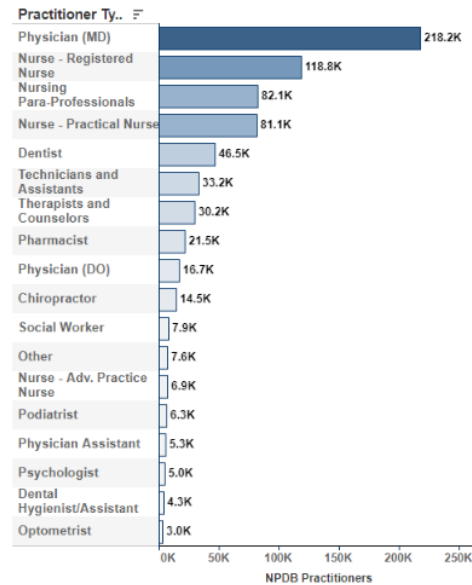
NPDB Data Analysis Tool NPDB Practitioners

This tab shows the number of unique practitioners for each profession (practitioner type) and state in the NPDB.
By selecting a practitioner type, you can view the total number of unique practitioners for that profession by state and vice versa.

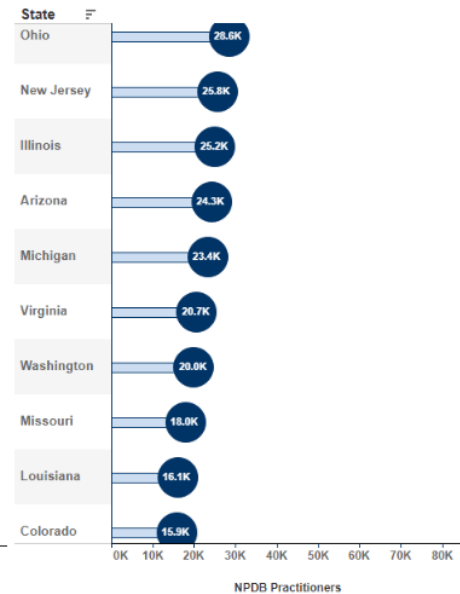
Practitioner Type: (All) State: (All)

Total Unique NPDB Practitioners
675,658

NPDB Practitioners by Practitioner Type



NPDB Practitioners by State



Practitioner Detail

State	Physician (MD)	Physician (DO)	Chiropractor	Dental Hygienist/Assistant	Dentist	Nurse - Adv. Practice Nurse	Nurse - Registered Nurse	Nurse - Practical Nurse	Nursing Para-Professionals	Optometrist
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The News

Maybe You Heard In the News



Questions



Contact Us

NPDB Customer Service Center: help@npdb.hrsa.gov

NPDB Policy Mailbox: npdbpolicy@hrsa.gov



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