National Practitioner Data Bank
Education Forum: Overview
April 9, 2019

Andy Jordan
Senior Advisor

Melissa Moore
Deputy Director

Division of Practitioner Data Bank (DPDB)
Bureau of Health Workforce (BHW)
Health Resources and Services Administration (HRSA)

Agenda

► **NPDB Purpose, General Provisions & Statistics**

► **Reporting & Querying**

► **Guidebook**

► **Compliance Efforts**

► **Additional Information**

► **Questions & Answers**

Purpose

Mission

To improve health care quality, protect the public, and reduce health care fraud and abuse in the United States.

► The NPDB is a health workforce tool, established by Congress, to assist organizations in making well-informed credentialing, privileging, and licensing decisions.

► The NPDB contains information on medical malpractice payments and certain adverse actions related to health care practitioners, entities, providers, and suppliers.

NPDB History

Created by Congress in 1986.

Contains reports on actions occurring on or after September 1, 1990.

Three primary statutes:

►<u>Title IV of the Health Care Quality Improvement Act of 1986:</u> Established the NPDB as a clearinghouse of information on certain medical malpractice payments and adverse actions related to licensure, clinical privileges, and professional society memberships.

►<u>Section 1921 of the Social Security Act:</u> Added certain actions taken by state licensing and certification authorities and other organizations. (Beginning March 2010)

►<u>Section 1128E of the Social Security Act:</u> Added certain final actions taken by federal agencies and health plans. (Beginning November 1999)
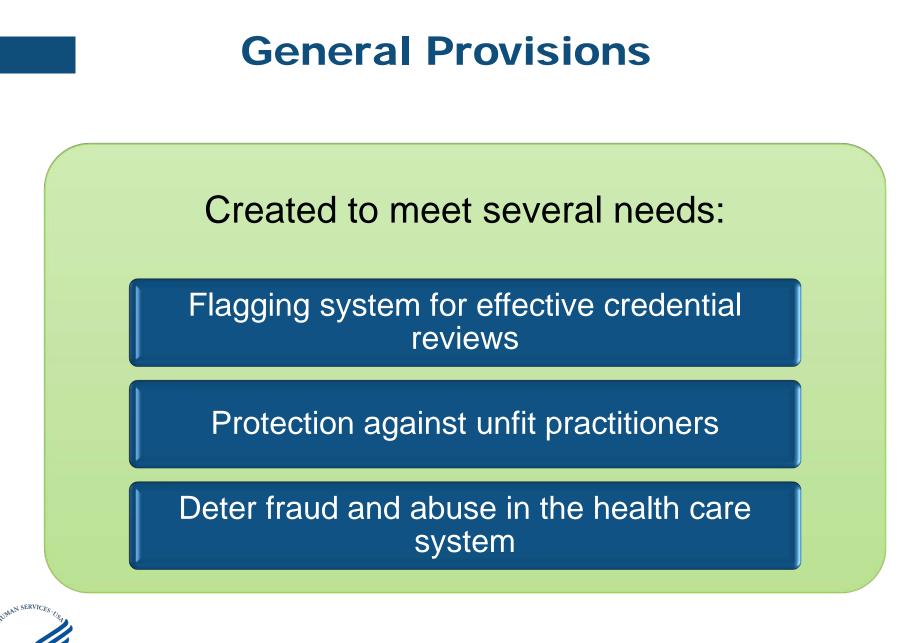
General Provisions

Types of Information Collected

► Medical malpractice judgments and settlements.

► Adverse licensing and certification actions.

► Clinical privileges actions.

► Health plan contract terminations.

► Professional society membership actions.

► Negative actions/findings from private accreditation organizations and peer review organizations.

► Government administrative actions, e.g. exclusions from programs.

► Civil and criminal health care-related judgments.

General Provisions

Created to meet several needs:

Flagging system for effective credential reviews

Protection against unfit practitioners

Deter fraud and abuse in the health care system

General Provisions

Recovering Costs

► By law, the NPDB must recover the full cost of operations. It does so by collecting fees for each query.

► Fees are examined every 2 years; last modified in October 2016.

Types of Transactions

► Reporting (no charge).

► Querying (by hospitals and health care organizations):

- **$2** for a one-year continuous query subscription.
- **$2** for a one-time query.

► Self-Query: **$4.**

General Provisions

Confidentiality

► Information reported to the NPDB is confidential, not available to the general public, and may not be disclosed except as provided by law.

► Penalty up to $22,363 per confidentiality violation. Federal regulations outside HRSA set the amount of the penalty.

NPDB Statistics

NPDB AGGREGATE DATA

1.4 **million REPORTS**

23 **thousand ENTITIES**

2018 DATA

85+ **thousand NEW REPORTS**
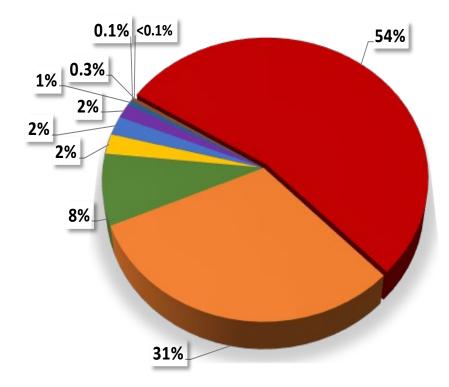
8.4 **million QUERIES**

1.8 **million DISCLOSURES**

NPDB Reports by Type (N = 1.4M)
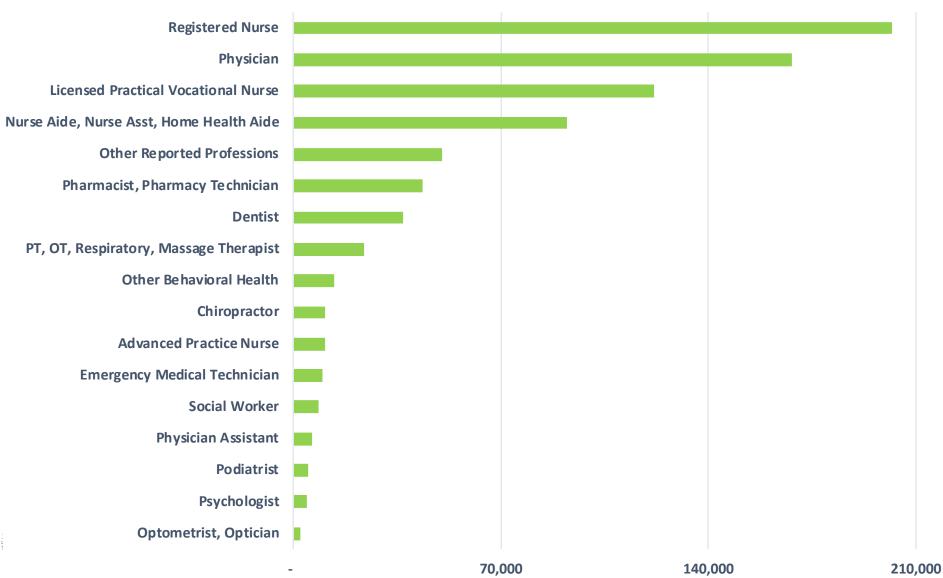
As of December 31, 2018

- State Licensure: 54%
- Medical Malpractice Payment: 31%
- Exclusion/ Debarment Action: 8%
- Judgment or Conviction: 2%
- Government Admin: 2%
- Clinical Privileges: 2%
- Health Plan: 1%
- DEA/Federal Licensure: 0.3%
- Professional Society: 0.1%
- Accreditation: 0.0024%

Reports on Individuals by Profession

2010 – 2018 (N = 832,000)

Querying

Who Reports and Queries?

ENTITY TYPE	REPORT	QUERY
Hospitals	✔ Required	✔ Required
Health plans	✔ Required	✚ Optional
Other health care entities with formal peer review	✔ Required	✚ Optional
State agencies licensing or certifying practitioners & entities (including boards of medical & dental examiners)	✔ Required	✚ Optional
State agencies administering or supervising state programs	✔ Required	✚ Optional
State law or fraud enforcement agencies (including state Medicaid fraud control units & state prosecutors)	✔ Required	✚ Optional
Federal licensing or certification agencies	✔ Required	✚ Optional
Agencies administering federal programs, including entities under contract	✔ Required	✚ Optional
Federal law enforcement officials & agencies (including DEA, HHS OIG, & federal prosecutors)	✔ Required	✚ Optional
Medical malpractice payers	✔ Required	✖ Not Authorized
Professional societies with formal peer review	✔ Required	✚ Optional
Peer review organizations (excluding QIOs)	✔ Required	✖ Not Authorized
Private accreditation organizations	✔ Required	✖ Not Authorized
Quality improvement organizations	✖ Not Authorized	✚ Optional
Individual practitioners, providers, & suppliers (self-query only)	✖ Not Authorized	✚ Optional

Required ✔   **Not Authorized** ✖   **Optional** ✚

Continuous Query

Purpose

► A subscription service that notifies subscribers of new information on any of their enrolled practitioners within one business day of the NPDB's receipt of the information

► Designed and developed to help meet accreditation standards that require ongoing monitoring of practitioners

► As of 12/31/2018, health care providers have enrolled more than 3.2 million practitioners

Continuous Query

One-Time Query

►An average of 500 days pass between receipt of a report and disclosure of the report in response to a query.*

►Re-credentialing means re-querying practitioners and reviewing all results to identify new information – a tremendous amount of effort for large organizations.

Data as of 12/2018

Continuous Query

• Average of 115 days pass between receipt of a report and disclosure of the report

• Service notifies subscribers of a report on their enrolled practitioners within one business day of receipt by the NPDB

• By handling new reports as they are disclosed, subscriber organizations are always up to date. Subscribers can deal only with events that need attention, immediately resolving issues

HRSA Health Workforce

Continuous Query

Using Continuous Query

Requires the same practitioner information

Subscribers continue using their subject databases

Fee: $2.00 per enrollee per year

► No separate query fee

► Upon enrollment, receive the same report information as a one-time query response

► Continuously queries the NPDB and notifies subscribers of any new reports

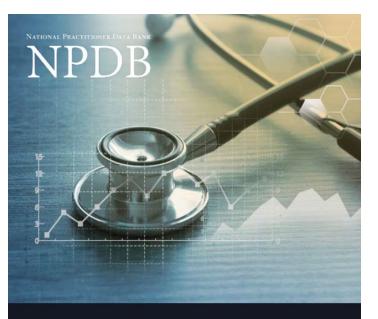► No need to re-query for reappointments or temporary privileges extensions

Guidebook

NPDB Guidebook

NPDB's policy manual containing detailed information to help health care entities understand and comply with reporting requirements.

http://www.npdb.hrsa.gov/resources/aboutGuidebooks.jsp

NPDB Attestation

Attestation: Compliance Initiative

What: State Licensing Boards, Health Centers, Hospitals, Health Plans, and Medical Malpractice Payers affirm they have reported all legally required reports to the NPDB within the past two years. Expanding to all other entities in the near future

Why:

► To educate entities about their legal obligation to report; and

► To ensure that they have reported all legally required reports to the NPDB.

When: During the entity's registration renewal process, which occurs every two years.
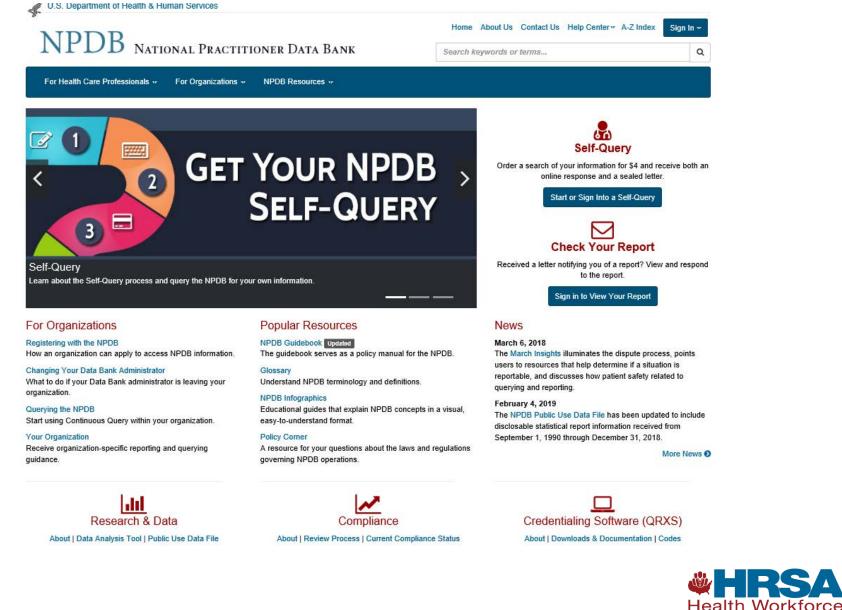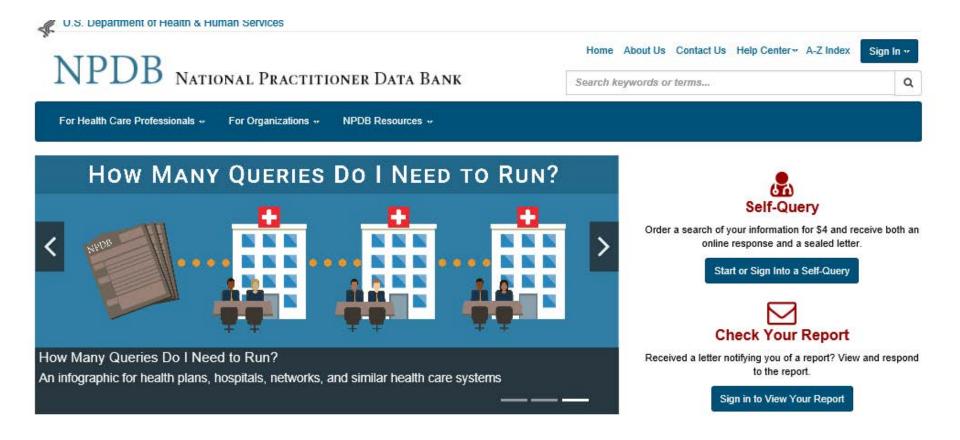
Additional *Information*

NPDB Website

NPDB WEBSITE HIGHLIGHTS

NPDB WEBSITE HIGHLIGHTS

For Organizations

Registering with the NPDB
How an organization can apply to access NPDB information.

Changing Your Data Bank Administrator
What to do if your Data Bank administrator is leaving your organization.

Querying the NPDB
Start using Continuous Query within your organization.

Your Organization
Receive organization-specific reporting and querying guidance.

Research & Data

About | Data Analysis Tool | Public Use Data File

NPDB WEBSITE HIGHLIGHTS

Popular Resources

NPDB Guidebook `Updated`

The guidebook serves as a policy manual for the NPDB.

Glossary

Understand NPDB terminology and definitions.

NPDB Infographics

Educational guides that explain NPDB concepts in a visual, easy-to-understand format.
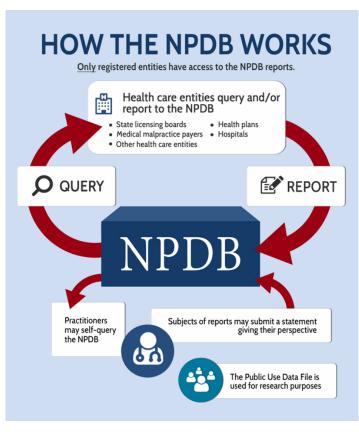
Policy Corner

A resource for your questions about the laws and regulations governing NPDB operations.
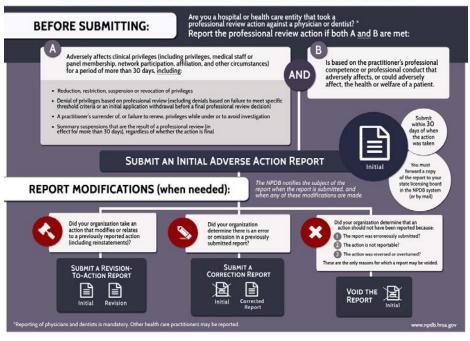
Infographics

NPDB WEBSITE HIGHLIGHTS

News

March 6, 2018
The March Insights illuminates the dispute process, points users to resources that help determine if a situation is reportable, and discusses how patient safety related to querying and reporting.

February 4, 2019
The NPDB Public Use Data File has been updated to include disclosable statistical report information received from September 1, 1990 through December 31, 2018.

More News ❯

Credentialing Software (QRXS)

About | Downloads & Documentation | Codes

NPDB Newsletter

U.S. Department of Health & Human Services

NATIONAL PRACTITIONER DATA BANK
NPDB *Insights*

Home   About Us   Contact Us   Help Center ▾   A-Z Index   **Sign In ▾**

I'm looking for...   🔍

📱 Receive Temporary Password via Text Message

If you find yourself unable to access the NPDB due to a password issue, you can now sign into the NPDB with a temporary password sent to your mobile phone number! Select "Need Help?" on the NPDB Sign-In page and enter your DBID and User ID to receive a temporary password via text message. **Please note: your mobile phone number must be added to your account in advance of being locked out in order to use this helpful feature.**

Is It Reportable?

Should a medical malpractice payment made exclusively for the benefit of a clinic, hospital, or other health care entity be reported?

No. Medical malpractice payments made solely for the benefit of a clinic, hospital, or other health care entity should not be reported to the NPDB. However, a payment made for the benefit of a professional corporation or business entity consisting only of a sole practitioner is reportable to the NPDB.
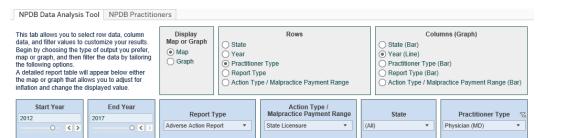
▲ top

📰 **Topics**

Is It Reportable?

New Querying Infographics Available

Hospital Registration Renewal Updates and New Hospital Web Page

Data on the Website

- Public Use File

- Data Analysis Tool

- Statistical Table and Trends

- Updated Quarterly

- **http://www.npdb.hrsa.gov/**

Questions

Contact Us

NPDB POLICY EMAIL : **npdb@hrsa.gov**

NPDB CUSTOMER SERVICE CENTER: **help@npdb.hrsa.gov**

Connect with HRSA

Learn more: www.HRSA.gov

Sign up for the HRSA *enews:*

Follow us: